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I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: August 8, 2003

Signature: \_\_\_\_\_

(Orville R. Cookings)

Docket No.: SONY 3.0-034  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:  
Maritzen et al.

Application No.: 10/015,070

Filed: December 11, 2001

For: METHOD AND SYSTEM OF  
CONDUCTING NETWORK-BASED  
TRANSACTIONS

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Group Art Unit: 3621  
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Examiner: Not Yet Assigned  
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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**PRELIMINARY AMENDMENT**

Dear Sir:

Preliminary to initiation of the prosecution of the above-identified pending U.S. patent application, the following amendments and remarks are respectfully submitted.

**RECEIVED**  
AUG 18 2003  
GROUP 3600

08/12/2003 CNGUYEN 00000026 121095 10015070

01 FC:1202 54.00 DA  
02 FC:1201 84.00 DA



2161/4

AMENDMENT TRANSMITTAL LETTER				Docket No. SONY 3.0-034	
Application No. 10/015,070	Filing Date December 11, 2001	Examiner Not Yet Assigned	Art Unit 2161		
Applicant(s): L. Michael Maritzen and Harold A. Ludtke					
Invention: METHOD AND SYSTEM OF CONDUCTING NETWORK-BASED TRANSACTIONS					
<b>TO THE COMMISSIONER FOR PATENTS</b>					
Transmitted herewith is an amendment in the above-identified application. The fee has been calculated and is transmitted as shown below.					
<b>CLAIMS AS AMENDED</b>					
	Claims Remaining After Amendment	Highest Number Previously Paid	Number Extra Claims Present	Rate	
<b>Total Claims</b>	23	- 20 =	3	x 18.00	54.00
<b>Independent Claims</b>	4	- 3 =	1	x 84.00	84.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					
Other fee (please specify):					
<b>TOTAL ADDITIONAL FEE FOR THIS AMENDMENT:</b>					138.00
<input checked="" type="checkbox"/> Large Entity <span style="margin-left: 200px;"><input type="checkbox"/> Small Entity</span>					
<input type="checkbox"/> No additional fee is required for this amendment.					
<input checked="" type="checkbox"/> Please charge Deposit Account No. <u>12-1095</u> in the amount of \$ <u>138.00</u> A duplicate copy of this sheet is enclosed.					
<input type="checkbox"/> A check in the amount of \$ _____ to cover the filing fee is enclosed.					
<input type="checkbox"/> Payment by credit card. Form PTO-2038 is attached.					
<input checked="" type="checkbox"/> The Director is hereby authorized to charge and credit Deposit Account No. <u>12-1095</u> as described below. A duplicate copy of this sheet is enclosed.					
<input checked="" type="checkbox"/> Credit any overpayment.					
<input checked="" type="checkbox"/> Charge any additional filing or application processing fees required under 37 CFR 1.16 and 1.17.					
Orville R. Cockings Attorney Reg. No.: 42,424  LERNER, DAVID, LITTENBERG, KRUMHOLZ & MENTLIK, LLP 600 South Avenue West Westfield, New Jersey 07090 (908) 518-6397				Dated: <u>August 8, 2003</u>	
<b>RECEIVED AUG 18 2003 GROUP 3600</b>					
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Dated: August 8, 2003		Signature: <u>[Signature]</u> (Orville R. Cockings)			